

Higher Education

Coordinator – Alan Shepard

			Actual Expenditure FY 09	Estimated Expenditure FY 10	Original Appropriated FY 11	Governor's Recommended Revised FY 11	Difference GOV-Orig FY 11	% Change GOV-Orig FY 11
General Fund								
Department of Higher Education	4	SB	70,426,112	70,376,250	70,932,209	68,728,154	-2,204,055	-3.11
University of Connecticut	7	AS	234,057,728	233,356,263	235,665,254	233,011,263	-2,653,991	-1.13
University of Connecticut Health Center	8	NA	128,212,205	117,908,497	121,347,063	117,734,347	-3,612,716	-2.98
Charter Oak State College	9	AS	2,712,793	2,851,868	2,927,884	2,847,633	-80,251	-2.74
Regional Community - Technical Colleges	10	AS	158,737,423	158,757,261	160,093,996	158,523,261	-1,570,735	-.98
Connecticut State University	11	AS	162,935,234	162,755,982	163,149,474	162,517,232	-632,242	-.39
Total - General Fund			757,081,495	746,006,121	754,115,880	743,361,890	-10,753,990	-1.43
Total - All Appropriated Funds			757,081,495	746,006,121	754,115,880	743,361,890	-10,753,990	-1.43

BUDGET CHANGES

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
HIGHER EDUCATION		
<u>Department of Higher Education</u>		
FY 11 Original Appropriation - GF	30	70,932,209
Reduce Equipment Funds		
Equipment	0	-49
Total - General Fund	0	-49
Reduce Funding for the Minority Advancement Program		
Minority Advancement Program	0	-491,423
Total - General Fund	0	-491,423
Eliminate Funding for CommPact Schools		
CommPACT Schools	0	-712,500
Total - General Fund	0	-712,500
Eliminate Funding for Americorps		
Americorps	0	-500,000
Total - General Fund	0	-500,000
Transfer Funds from the Connecticut Independent College Student Grant to the Kirklyn M Kerr Grant Program		
Connecticut Independent College Student Grant	0	-500,000
Total - General Fund	0	-500,000
Restore Personal Services Funding		
Personal Services	0	302,777
Total - General Fund	0	302,777
Rollout of the Management Lapse		
Personal Services	0	-46,050
Total - General Fund	0	-46,050
Rollout of the SEBAC Savings		
Personal Services	-2	-256,727
Total - General Fund	-2	-256,727
Allocate DoIT Lapse		
Other Expenses	0	-83
Total - General Fund	0	-83
Budget Totals - GF	28	68,728,154
<u>University of Connecticut</u>		
FY 11 Original Appropriation - GF	2,993	235,665,254
Rollout of FY 10 Adjustments		
Operating Expenses	-8	-2,653,991
Total - General Fund	-8	-2,653,991
Budget Totals - GF	2,985	233,011,263
<u>University of Connecticut Health Center</u>		
FY 11 Original Appropriation - GF	5,077	121,347,063
Rollout of the Management Lapse		
Operating Expenses	0	-1,332,254
Total - General Fund	0	-1,332,254
Rollout of FY 10 Adjustments		
Operating Expenses	-5	-2,280,462
Total - General Fund	-5	-2,280,462

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
Adjust Authorized Position Count		
Operating Expenses	-3,777	0
Total - General Fund	-3,777	0
Budget Totals - GF	1,295	117,734,347
<u>Charter Oak State College</u>		
FY 11 Original Appropriation - GF	31	2,927,884
Rollout of FY 10 Adjustments		
Operating Expenses	0	-80,251
Total - General Fund	0	-80,251
Budget Totals - GF	31	2,847,633
<u>Regional Community - Technical Colleges</u>		
FY 11 Original Appropriation - GF	2,078	160,093,996
Rollout of FY 10 Adjustments		
Operating Expenses	-20	-1,570,735
Total - General Fund	-20	-1,570,735
Budget Totals - GF	2,058	158,523,261
<u>Connecticut State University</u>		
FY 11 Original Appropriation - GF	2,330	163,149,474
Rollout of FY 10 Adjustments		
Operating Expenses	-36	-632,242
Total - General Fund	-36	-632,242
Budget Totals - GF	2,294	162,517,232
HIGHER EDUCATION TOTALS		
Total Higher Education	8,691	743,361,890
GRAND TOTAL	8,691	743,361,890

Department of Higher Education DHE66500

	Actual Expenditure FY 09	Estimated Expenditure FY 10	Original Appropriated FY 11	Governor's Recommended Revised FY 11	Difference GOV-Orig FY 11	% Change GOV-Orig FY 11
POSITION SUMMARY						
Permanent Full-Time	30	30	30	28	-2	-6.67
Permanent Full-Time - OF	13	0	0	0	0	N/A
Permanent Full-Time - OF	6	0	0	0	0	N/A
BUDGET SUMMARY						
Personal Services	2,962,004	2,177,585	2,384,731	2,384,731	0	0.00
Other Expenses	132,654	233,960	167,022	166,939	-83	-0.05
Equipment	0	48	50	1	-49	-98.00
Other Current Expenses						
Minority Advancement Program	2,381,342	2,405,666	2,405,666	1,914,243	-491,423	-20.43
Alternate Route to Certification	137,464	139,106	100,000	100,000	0	0.00
National Service Act	292,421	312,217	328,365	328,365	0	0.00
International Initiatives	65,204	63,175	66,500	66,500	0	0.00
Minority Teacher Incentive Program	447,254	447,805	471,374	471,374	0	0.00
Education and Health Initiatives	522,500	496,375	522,500	522,500	0	0.00
CommPACT Schools	712,500	676,875	712,500	0	-712,500	-100.00
Americorps	0	175,000	500,000	0	-500,000	-100.00
Other Than Payments to Local Governments						
Capitol Scholarship Program	8,743,529	8,902,779	8,902,779	8,902,779	0	0.00
Awards to Children of Deceased/ Disabled Veterans	800	4,000	4,000	4,000	0	0.00
Connecticut Independent College Student Grant	23,396,519	23,413,860	23,413,860	23,413,860	0	0.00
Connecticut Aid for Public College Students	30,208,469	30,208,469	30,208,469	30,208,469	0	0.00
New England Board of Higher Education	183,750	183,750	183,750	183,750	0	0.00
Connecticut Aid to Charter Oak	59,393	59,393	59,393	59,393	0	0.00
Kirklyn M. Kerr Grant Program	0	475,000	500,000	0	-500,000	-100.00
Washington Center	0	1,187	1,250	1,250	0	0.00
ECE - Collaboration with Higher Ed	180,309	0	0	0	0	N/A
Agency Total - General Fund	70,426,112	70,376,250	70,932,209	68,728,154	-2,204,055	-3.11
Additional Funds Available						
Federal Contributions	7,351,535	0	0	0	0	N/A
Carry Forward Funding	0	783,134	0	0	0	N/A
Bond Funds	16,700	0	0	0	0	N/A
Private Contributions	1,086,500	0	0	0	0	N/A
Agency Grand Total	78,880,847	71,159,384	70,932,209	68,728,154	-2,204,055	-3.11

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
FY 11 Original Appropriation - GF	30	70,932,209

Reduce Equipment Funds

(Governor) Equipment funding is reduced by \$49.

Equipment	0	-49
Total - General Fund	0	-49

Reduce Funding for the Minority Advancement Program

The Minority Advancement Program is made up of ConnCAP, which is a college preparatory grant program for low-income and first generation college families, and ConnCAS, which is an incentive grant to improve college enrollment, retention and graduation. The ten ConnCAP programs served 921 students in 2009 and the ten ConnCAS programs served 584 new students in student service programs and seven outreach programs. The expenditures in this program also act as a match for the Gear-Up federal grant, which totals \$3.0 million annually.

Since 2009 the program has experienced various savings initiatives. Any additional contract savings taken in 2011 would further reduce the available funds to meet the federal match.

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
(Governor) Funding for the Minority Advancement Program is reduced by \$491,423.		
Minority Advancement Program	0	-491,423
Total - General Fund	0	-491,423
Eliminate Funding for CommPact Schools		
The CommPACT schools grant provides funding for the Neag School of Education at the University of Connecticut to administer a field-based support program for up to twelve CommPACT schools. Funding is also provided to the Connecticut State University System for development of a college readiness grant program (BRIDGES) to address core subject matter deficiencies among high school students and to improve such students' performance on Connecticut Mastery tests as well as college placement exams.		
(Governor) Funding of \$712,500 for the CommPACT schools is eliminated.		
CommPACT Schools	0	-712,500
Total - General Fund	0	-712,500
Eliminate Funding for Americorps		
The original FY 10 appropriation for Americorps was \$500,000. This amount was reduced by \$325,000 due to the Governor's rescissions and transfers to other accounts. The Americorps funding is distributed by RFP as grants for community service. The funded grants are used as a match for new federal funding awarded to the Commission on Community Service in 2010. There is insufficient funding in the National Service account to meet current and new federal obligations.		
(Governor) Funding of \$500,000 for Americorps is eliminated.		
Americorps	0	-500,000
Total - General Fund	0	-500,000
Transfer Funds from the Connecticut Independent College Student Grant to the Kirklyn M Kerr Grant Program		
Section 3 of PA 09-159, "AAC Graduate Programs at Charter Oak State College, the Kirklyn M. Kerr Grant Program and Veteran Tuition Waivers," changes the title of Opportunities in Veterinary Medicine, to the Kirklyn M. Kerr Grant Program.		
Sections 57 and 58 of PA 09-6 (SSS), the Education Implementer, transfer \$500,000 in FY 11 from the Connecticut Independent College Student Grant to Opportunities in Veterinary Medicine.		
(Governor) Funding of \$500,000 for the Kirklyn M. Kerr grant program is eliminated.		
Connecticut Independent College Student Grant	0	-500,000
Total - General Fund	0	-500,000
Restore Personal Services Funding		
(Governor) Personal Services funding of \$302,777 is restored.		
Personal Services	0	302,777
Total - General Fund	0	302,777
Rollout of the Management Lapse		
The biennial budget included a bottom line Management Lapse of \$10.0 million in FY 10 and \$12.5 million in FY 11. Through holdbacks, the FY 10 savings were allocated to state agencies.		
(Governor) Funding of \$46,050 is reduced to reflect the reallocation of the Management Lapse to this agency.		
Personal Services	0	-46,050
Total - General Fund	0	-46,050

**Gov. Rev.
FY 11
Pos.**

**Gov. Rev.
FY 11
Amount**

Rollout of the SEBAC Savings

The 2009 SEBAC Agreement included provisions for a wage freeze, a Retirement Incentive Program (RIP), furlough days, state employee pension system deferrals, and various health benefit related provisions. Through holdbacks, the FY 10 SEBAC savings were allocated to state agencies.

(Governor) Funding of \$256,727 is reduced to reflect the reallocation of the SEBAC lapse to this agency. The agency's Personal Services is reduced to reflect the savings attributed to RIP and furlough days. The agency's authorized position count is reduced by 2 full time positions due to RIP.

Personal Services	-2	-256,727
Total - General Fund	-2	-256,727

Allocate DoIT Lapse

The biennial budget provides a direct General Fund appropriation to the Department of Information Technology (DoIT) instead of providing information technology funding to various state agencies to reimburse the DoIT for the cost of its services.

In order to effect this change in FY 10, state agency appropriations were reduced by \$30,073,727 in total through a lapse adjustment while the General Fund appropriation to the DoIT was increased. The total FY 11 statewide lapse adjustment is \$31,718,598 per the biennial budget.

(Governor) The FY 11 lapse adjustment of \$83 is reflected in this agency's appropriation. The FY 10 lapse adjustment for this agency was \$79.

Other Expenses	0	-83
Total - General Fund	0	-83
Total - GF	28	68,728,154

University of Connecticut UOC67000

	Actual Expenditure FY 09	Estimated Expenditure FY 10	Original Appropriated FY 11	Governor's Recommended Revised FY 11	Difference GOV-Orig FY 11	% Change GOV-Orig FY 11
POSITION SUMMARY						
Permanent Full-Time	2,816	2,993	2,993	2,985	-8	-0.27
Permanent Full-Time - OF	1,724	1,554	1,579	1,579	0	0.00
Permanent Full-Time - OF	395	395	395	395	0	0.00
BUDGET SUMMARY						
Other Current Expenses						
Operating Expenses	222,210,139	220,511,958	222,447,810	219,793,819	-2,653,991	-1.19
Tuition Freeze	4,741,885	4,741,885	4,741,885	4,741,885	0	0.00
Regional Campus Enhancement	7,005,704	8,002,420	8,375,559	8,375,559	0	0.00
Veterinary Diagnostic Laboratory	100,000	100,000	100,000	100,000	0	0.00
Agency Total - General Fund	234,057,728	233,356,263	235,665,254	233,011,263	-2,653,991	-1.13
Additional Funds Available						
Federal Contributions	70,483,688	71,583,471	72,737,225	72,737,225	0	0.00
University of Connecticut Operating Fd	604,324,116	638,649,811	668,414,478	668,414,478	0	0.00
UConn Research Foundation	26,906,211	27,309,803	27,719,451	27,719,451	0	0.00
Agency Grand Total	935,771,743	970,899,348	1,004,536,408	1,001,882,417	-2,653,991	-0.26

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
FY 11 Original Appropriation - GF	2,993	235,665,254

Rollout of FY 10 Adjustments

The biennial budget included bottom line Management and Reduce Outside Consultant Contracts Lapses. Through holdbacks, FY 10 savings were allocated to state agencies, The University of Connecticut's allocation was reduced by \$345,000 for contract savings and \$1.94 million for management lapse in FY 10.

(Governor) Funding of \$2,653,991 is reduced through the rollout of the FY 10 savings. This reduction maintains funding at the minimum required maintenance of effort for continued federal ARRA funding. Additionally the agency's authorized position count is reduced by 8 full time positions due to RIP.

Operating Expenses	-8	-2,653,991
Total - General Fund	-8	-2,653,991
Total - GF	2,985	233,011,263

University of Connecticut Health Center UHC72000

	Actual Expenditure FY 09	Estimated Expenditure FY 10	Original Appropriated FY 11	Governor's Recommended Revised FY 11	Difference GOV-Orig FY 11	% Change GOV-Orig FY 11
POSITION SUMMARY						
Permanent Full-Time	933	5,008	5,077	1,295	-3,782	-74.49
Permanent Full-Time - OF	1,159	0	0	3,777	3,777	N/A
Permanent Full-Time - OF	543	0	0	0	0	N/A
Permanent Full-Time - OF	885	0	0	0	0	N/A
BUDGET SUMMARY						
Other Current Expenses						
Operating Expenses	127,706,498	117,402,790	120,841,356	117,228,640	-3,612,716	-2.99
AHEC	505,707	505,707	505,707	505,707	0	0.00
Agency Total - General Fund	128,212,205	117,908,497	121,347,063	117,734,347	-3,612,716	-2.98
Additional Funds Available						
UConn Health Center Operating Fd	291,638,415	299,570,862	314,385,602	314,385,602	0	0.00
UConn Health Ctr Research Foundation	93,615,549	103,737,158	112,098,568	112,098,568	0	0.00
UConn Health Center Clinical Programs	231,451,031	243,272,811	256,245,172	256,245,172	0	0.00
Tobacco and Health Trust Fund	0	500,000	500,000	500,000	0	0.00
Agency Grand Total	744,917,200	764,989,328	804,576,405	800,963,689	-3,612,716	-0.45

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
FY 11 Original Appropriation - GF	5,077	121,347,063

Rollout of the Management Lapse

The biennial budget included a bottom line Management Lapse of \$10.0 million in FY 10 and \$12.5 million in FY 11. Through holdbacks, the FY 10 savings were allocated to state agencies.

(Governor) Funding of \$1,332,254 is reduced to reflect the reallocation of the Management Lapse to this agency.

Operating Expenses	0	-1,332,254
Total - General Fund	0	-1,332,254

Rollout of FY 10 Adjustments

(Governor) Funding of \$2,280,462 is reduced through the rollout of the FY 10 savings. Additionally the agency's authorized position count is reduced by 5 full time positions due to RIP.

Operating Expenses	-5	-2,280,462
Total - General Fund	-5	-2,280,462

Adjust Authorized Position Count

Last session, the Legislature consolidated all positions at the University of Connecticut Health Center (UCHC) to permanent, full time General Fund Positions. Previously, the positions had been broken out among the General Fund, Hospital, Research and Clinical categories. This reclassification was done to provide UCHC flexibility as many employees are redesignated among the classifications during the course of the fiscal year.

(Governor) The General Fund position count is reduced by 3,777 to reflect only those positions funded by General Fund revenue.

Operating Expenses	-3,777	0
Total - General Fund	-3,777	0

Total - GF	1,295	117,734,347
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Charter Oak State College BAA77000

	Actual Expenditure FY 09	Estimated Expenditure FY 10	Original Appropriated FY 11	Governor's Recommended Revised FY 11	Difference GOV-Orig FY 11	% Change GOV-Orig FY 11
POSITION SUMMARY						
Permanent Full-Time	31	31	31	31	0	0.00
BUDGET SUMMARY						
Other Current Expenses						
Operating Expenses	2,064,735	2,169,321	2,237,098	2,156,847	-80,251	-3.59
Distance Learning Consortium	648,058	682,547	690,786	690,786	0	0.00
Agency Total - General Fund	2,712,793	2,851,868	2,927,884	2,847,633	-80,251	-2.74
Additional Funds Available						
Federal Contributions	2,024,774	2,109,761	2,198,999	2,198,999	0	0.00
Bond Funds	500,000	0	0	0	0	N/A
Private Contributions	8,579,158	8,393,054	9,443,438	9,443,438	0	0.00
Agency Grand Total	13,816,725	13,354,683	14,570,321	14,490,070	-80,251	-0.55

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
FY 11 Original Appropriation - GF	31	2,927,884

Rollout of FY 10 Adjustments

The biennial budget included bottom line Management and Reduce Outside Consultant Contracts Lapses. Through holdbacks, FY 10 savings were allocated to state agencies, Charter Oak State College's allocation was reduced by \$4,235 for contract savings and \$39,300 for management lapse in FY 10.

(Governor) Funding of \$80,251 is reduced through the rollout of the FY 10 savings. This reduction maintains funding at the minimum required maintenance of effort for continued federal ARRA funding.

Operating Expenses	0	-80,251
Total - General Fund	0	-80,251
Total - GF	31	2,847,633

Regional Community - Technical Colleges CCC78000

	Actual Expenditure FY 09	Estimated Expenditure FY 10	Original Appropriated FY 11	Governor's Recommended Revised FY 11	Difference GOV-Orig FY 11	% Change GOV-Orig FY 11
POSITION SUMMARY						
Permanent Full-Time	2,164	1,927	2,078	2,058	-20	-0.96
Permanent Full-Time - OF	123	203	203	203	0	0.00
BUDGET SUMMARY						
Other Current Expenses						
Operating Expenses	156,058,748	156,051,336	157,388,071	155,817,336	-1,570,735	-1.00
Tuition Freeze	2,160,925	2,160,925	2,160,925	2,160,925	0	0.00
Manufacturing Technology Program - Asnuntuck	327,750	345,000	345,000	345,000	0	0.00
Expand Manufacturing Technology Program	190,000	200,000	200,000	200,000	0	0.00
Agency Total - General Fund	158,737,423	158,757,261	160,093,996	158,523,261	-1,570,735	-0.98
Additional Funds Available						
Federal Contributions	36,051,686	41,101,808	42,691,089	42,691,089	0	0.00
Reg Comm-Tech College Operat and Tuition	158,674,156	171,186,433	178,997,808	178,997,808	0	0.00
Agency Grand Total	353,463,265	371,045,502	381,782,893	380,212,158	-1,570,735	-0.41

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
FY 11 Original Appropriation - GF	2,078	160,093,996

Rollout of FY 10 Adjustments

The biennial budget included bottom line Management and Reduce Outside Consultant Contracts Lapses. Through holdbacks, FY 10 savings were allocated to state agencies, The Regional Community-Technical College's allocation was reduced by \$234,000 for contract savings and \$1.1 million for management lapse in FY 10.

(Governor) Funding of \$1,570,735 is reduced through the rollout of the FY 10 savings. This reduction maintains funding at the minimum required maintenance of effort for continued federal ARRA funding. Additionally the agency's authorized position count is reduced by 20 full time positions due to RIP.

Operating Expenses	-20	-1,570,735
Total - General Fund	-20	-1,570,735
Total - GF	2,058	158,523,261

Connecticut State University CSU83000

	Actual Expenditure FY 09	Estimated Expenditure FY 10	Original Appropriated FY 11	Governor's Recommended Revised FY 11	Difference GOV-Orig FY 11	% Change GOV-Orig FY 11
POSITION SUMMARY						
Permanent Full-Time	2,252	2,330	2,330	2,294	-36	-1.55
Permanent Full-Time - OF	1,005	1,015	1,015	1,015	0	0.00
BUDGET SUMMARY						
Other Current Expenses						
Operating Expenses	155,425,445	155,155,730	155,508,164	154,875,922	-632,242	-0.41
Tuition Freeze	6,561,971	6,561,971	6,561,971	6,561,971	0	0.00
Waterbury-Based Degree Program	947,818	1,038,281	1,079,339	1,079,339	0	0.00
Agency Total - General Fund	162,935,234	162,755,982	163,149,474	162,517,232	-632,242	-0.39
Additional Funds Available						
Federal Contributions	21,122,420	14,439,491	14,613,880	14,613,880	0	0.00
St University Operating and Tuition Fund	444,634,569	474,261,104	495,159,930	495,159,930	0	0.00
Special Funds, Non-Appropriated	14,810,755	15,121,781	15,333,486	15,333,486	0	0.00
Bond Funds	10,000,000	0	0	0	0	N/A
Agency Grand Total	653,502,978	666,578,358	688,256,770	687,624,528	-632,242	-0.09

	Gov. Rev. FY 11 Pos.	Gov. Rev. FY 11 Amount
FY 11 Original Appropriation - GF	2,330	163,149,474

Rollout of FY 10 Adjustments

The biennial budget included bottom line Management and Reduce Outside Consultant Contracts Lapses. Through holdbacks, FY 10 savings were allocated to state agencies, The Connecticut State University's allocation was reduced by \$238,750 for contract savings and \$352,434 for management lapse in FY 10.

(Governor) Funding of \$632,242 is reduced through the rollout of the FY 10 savings. This reduction maintains funding at the minimum required maintenance of effort for continued federal ARRA funding. Additionally the agency's authorized position count is reduced by 36 full time positions due to RIP.

Operating Expenses	-36	-632,242
Total - General Fund	-36	-632,242
Total - GF	2,294	162,517,232